



Attorney File No. 161,700-040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**BARBUT, Denise R.**

**Serial No. 10/052,688**

Filed: January 18, 2002

For: PARTIAL AORTIC OCCLUSION  
DEVICES AND METHODS FOR  
CEREBRAL PERFUSION  
AUGMENTATION

Group Art Unit: 3763

Examiner: M. Thompson

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JUL 14 2004  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98,  
information relating to the above-identified application is hereby disclosed. The  
accompanying Form PTO–1449 provides a listing of documents that may be relevant to the  
subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form  
1449, initial the left–most column of the form adjacent each cited reference, and return a copy

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

June 30, 2004  
Date of Deposit  
IR1:1049602.1

*Cynthia B. Pacheco*  
Cynthia B. Pacheco

for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above, but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311. Accordingly, please charge Deposit Account No. 50-2862 the fee of \$180.00. A duplicate copy of this document has been enclosed for processing purposes.

Copies of the international reference(s) listed on the attached Form PTO-1449 are enclosed herewith.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

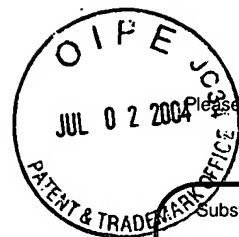
Respectfully submitted,

O'MELVENY & MYERS LLP

Dated: June 30, 2004

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/052,688
Filing Date	January 18, 2002
First Named Inventor	BARBUT et al.
Group Art Unit	3763
Examiner Name	M. Thompson
Attorney Docket Number	161,700-040

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	3,692,018		Goetz et al.	09/19/1972	
	AB	3,720,200		Laird	03/13/1973	
	AC	4,701,160		Lindsay et al.	10/20/1987	
	AD	4,712,566		Hök	12/15/1987	
	AE	4,744,863		Guckel et al.	05/17/1988	
	AF	4,853,669		Guckel et al.	08/01/1989	
	AG	4,941,473		Tenerz et al.	07/17/1990	
	AH	4,996,082		Guckel et al.	02/26/1991	
	AI	5,085,223		Lars et al.	02/04/1992	
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	AK	5,202,939		Belleville et al.	04/13/1993	
	AL	5,392,117		Belleville et al.	02/21/1995	
	AM	5,891,012		Downey et al.	04/06/1999	
	AN	6,165,199		Barbut	12/26/2000	
	AO	6,555,057		Barbut et al.	04/29/2003	
	AP	6,595,980		Barbut	07/22/2003	

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### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AQ	WO	99/15227	B1	Macoviak	05/14/1999		
	AR							
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Examiner  
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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